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MULTI-MODE MODULATOR AND TRANSMITTER

The present invention relates generally to communications, and more specifically to a method and apparatus of modulating baseband and RF (radio  
5 frequency) signals. The preferred embodiment of the invention satisfies the need for an inexpensive, high-performance, fully-integrable, multi-standard transmitter.

**Background of the Invention**

Many communication systems modulate electromagnetic signals from  
10 baseband to higher frequencies for transmission, and subsequently demodulate those high frequencies back to their original frequency band when they reach the receiver. The original (or baseband) signal may be, for example: data, voice or video. These baseband signals may be produced by transducers such as microphones or video cameras, be computer generated, or transferred from an  
15 electronic storage device. In general, the high frequencies provide longer range and higher capacity channels than baseband signals, and because high frequency signals can effectively propagate through the air, they can be used for wireless transmissions as well as hard wired or wave guided channels.

All of these signals are generally referred to as RF signals, which are  
20 electromagnetic signals; that is, waveforms with electrical and magnetic properties within the electromagnetic spectrum normally associated with radio wave propagation.

Wired communication systems which employ such modulation and demodulation techniques include computer communication systems such as local  
25 area networks (LANs), point-to-point communications, and wide area networks (WANs) such as the Internet. These networks generally communicate data signals over electrically conductive or optical fibre channels. Wireless communication systems which may employ modulation and demodulation include those for public broadcasting such as AM and FM radio, and UHF and VHF television. Private  
30 communication systems may include cellular telephone networks, personal paging devices, HF radio systems used by taxi services, microwave backbone networks, interconnected appliances under the Bluetooth standard, and satellite communications. Other wired and wireless systems which use RF modulation and demodulation would be known to those skilled in the art.

35 There is currently a great desire to provide wireless devices which operate

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under multiple standards. This would allow, for example, cellular telephones to be truly mobile even when the user travels from one country which uses the GSM (Global System for Mobile Communication) standard to another country which uses the CDMA (Code Division Multiple Access) standard.

5        There is also a desire to provide such devices in a completely integrated form, in the interest of providing smaller, lighter devices which are less expensive, and which consume less power. Discrete electronic components such as off-chip filters, are physically large, comparatively expensive and consume more power than integrated components.

10        Conventional, integrated transmitter architectures suffer from a variety of limitations in the context of realizing a single transmitter that is capable of operation across multiple standards (i.e. a multi-standard/multi-mode transmitter). A number of transmitter architectures have been proposed, but none of them are effective. These designs usually provide this functionality by means of multiple, independent  
15        signal paths - one signal path and set of components for each frequency standard and/or set of operating conditions. This is an expensive and physically bulky approach which suffers from all of the performance problems described above.

For example, indirect modulation is a proven architecture for single-mode transmission and has the advantages of high overall performance in terms of noise,  
20        linearity and power/gain control. However, this architecture is relatively costly to implement due to the need for IF and RF filters. As well, realization of a small and inexpensive multi-mode, multi-band transmitter is generally not possible using indirect modulation.

Indirect modulation transmitters use a two-step frequency translation method  
25        to convert a baseband signal or an RF signal to a higher frequency. **Figure 1** presents a block diagram of a typical indirect modulation transmitter **10**. The mixers labelled **12** and **14** are used to translate the input signal **S<sub>in</sub>** (generally a baseband signal, but could also be an RF signal) to a higher RF frequency (usually the carrier frequency of a signal being transmitted), which is labelled as output signal **S<sub>out</sub>**.  
30        The balance of the components amplify the signal being processed and filter noise from it.

First, amplifier **22** buffers and amplifies the baseband signal, ensuring that it is at a level suitable to handle the subsequent processing. The amplified signal is then filtered by a low pass or band pass filter **24** to remove undesirable signals which  
35        may interfere. The filtered signal then enters mixer **12** which mixes the signal from

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filter **24** with a periodic signal generated by a local oscillator (LO1) **26**. This translates the **Sin** signal to a higher frequency, known as the first intermediate frequency (IF1).

5 Generally, a mixer is a circuit or device that accepts as its input two different frequencies and presents at its output:

- (a) a signal equal in frequency to the sum of the frequencies of the input signals;
- (b) a signal equal in frequency to the difference between the frequencies of the input signals; and
- (c) the original input frequencies.

10 The typical embodiment of a mixer is a digital switch which may generate significantly more tones than stated above.

The IF1 signal is next filtered by a band pass filter **28** typically called a channel filter, which is centred around the IF1 frequency, thus filtering out the unwanted products of the first mixing processes; signals (a) and (c) above. This is  
15 necessary to prevent these signals from interfering with the desired signal when the second mixing process is performed.

The signal is then amplified by an intermediate frequency amplifier (IFA) **30**, and is mixed with a second local oscillator signal using mixer **14** and local oscillator (LO2) **32**. The second local oscillator LO2 **32** generates a periodic signal which is  
20 tuned to modulate the IF1 signal to the desired transmission or carrier frequency. Thus, the signal coming from the output of **14** is now at desired transmission frequency. Noise is now filtered from the desired signal using a high pass filter or band pass filter **38**, and the signal is amplified by amplifier **40**, so that it can now be transmitted.

25 Note that the same process can be used to modulate or demodulate any electrical signal from one frequency to another.

The main problems with the in-direct conversion design are:

- it requires expensive off-chip components, particularly filters **24**, **28** and **38**;
- the off-chip components require design trade-offs that increase power  
30 consumption and reduce system gain;
- image rejection is limited by the off-chip components, not by the target integration technology;
- isolation from digital noise can be a problem; and
- it is not fully integratable.

35 The filters **24**, **28** and **38** used in indirect conversion systems must be high

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quality devices, so electronically tunable filters cannot be used. As well, the only way to use the indirect conversion system in a multi-standard/multi-frequency application is to use a separate set of off-chip filters for each frequency band. Clearly this is not an effective approach to the provision of a multi-standard/multi-frequency transmitter.

The continuing desire to implement low-cost, power efficient transmitters has proven especially challenging as the frequencies of interest in the wireless telecommunications industry (especially low-power cellular/micro-cellular voice/data personal communications systems) have risen above those used previously (approximately 900 MHz) into the spectrum above 1 GHz.

Thus, there is a need for a method and apparatus for signal modulation which addresses the problems above. It is desirable that this multi-standard/multi-frequency design be fully-integratable, inexpensive and high performance.

## Summary of the Invention

It is therefore an object of the invention to provide a novel method and system of modulation and demodulation which obviates or mitigates at least one of the disadvantages of the prior art.

One aspect of the invention is defined as a circuit for modulating an input signal  $x(t)$  to an output signal  $y(t)$ , the circuit comprising: a first mixer having an input for an RF signal, an input for a first mixing signal  $f_1$  and an output for a mixed signal based on the two input signals; a second mixer having an input for an RF signal, an input for a second mixing signal  $f_2$  and an output for a mixed signal based on the two input signals, the output providing the output signal  $y(t)$ , and the output of the first mixer being connected to the RF input of the second mixer; a switch having one input and two outputs, the input for receiving the input signal  $x(t)$  and the two outputs being connected to separate ones of the RF signal inputs of the first mixer and the second mixer, whereby the switch can be selectively controlled to direct the input signal  $x(t)$  to the input of either the first mixer or the second mixer; a first signal generator, for generating a multi-tonal mixing signal  $\phi_1$  and providing the first mixing signal to the first mixer; a second signal generator, for generating a mono-tonal mixing signal  $\phi_2$  and providing the second mixing signal to the second mixer; and a control circuit for controlling the position of the switch and the signals generated by the first signal generator and the second generator, the control circuit having two modes: a first mode in which the input signal  $x(t)$  is fed to the second mixer, and the

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second signal generator is operable to generate a direct-conversion type oscillator signal; and a second mode in which the input signal  $x(t)$  is fed to the first mixer, and the first and second signal generators are controlled to generate a virtual local oscillator signal pair where  $\phi_1 * \phi_2$  has significant power at the frequency of the local oscillator signal being emulated, neither of the  $\phi_1$  nor the  $\phi_2$  having significant power at the carrier frequency of the input signal  $x(t)$  or the LO signal being emulated.

An alternative aspect of the invention is defined as a circuit for modulating an input signal  $x(t)$  to an output signal  $y(t)$ , the circuit comprising: a first mixer having an input for an RF signal, an input for a first mixing signal  $f_1$  and an output for a mixed signal based on the two input signals; a second mixer having an input for an RF signal, an input for a second mixing signal  $f_2$  and an output for a mixed signal based on the two input signals, the output providing the output signal  $y(t)$ , and the output of the first mixer being connected to the RF input of the second mixer; a first signal generator, for generating either a multi-tonal mixing signal  $\phi_1$  or a constant value signal, and providing the first mixing signal to the first mixer; a second signal generator, for generating a mono-tonal mixing signal  $\phi_2$  and providing the second mixing signal to the second mixer; and a control circuit for controlling the signals generated by the first signal generator and the second generator, the control circuit having two modes: a first mode in which the first signal generator is controlled to generate a constant value signal, and the second signal generator is controlled to generate a direct-conversion type oscillator signal; and a second mode in which the first and second signal generators are controlled to generate a virtual local oscillator signal pair where  $\phi_1 * \phi_2$  has significant power at the frequency of a local oscillator signal being emulated, and neither of the  $\phi_1$  nor the  $\phi_2$  having significant power at the frequency of the input signal  $x(t)$  or the LO signal being emulated.

### Brief Description of the Drawings

These and other features of the invention will become more apparent from the following description in which reference is made to the appended drawings in which:

**Figure 1** presents a block diagram of a super-heterodyne modulation topology as known in the art;

**Figure 2** presents a block diagram of a modulator topology in a broad embodiment of the invention;

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**Figure 3** presents a timing diagram of a set of mixing signals in a broad embodiment of the invention;

**Figure 4** presents a block diagram of a differential modulator topology in an embodiment of the invention;

5 **Figure 5** presents a timing diagram of a set differential mixing signals plotted in amplitude against time, in an embodiment of the invention; and

**Figure 6** presents a block diagram of a differential modulator topology in an alternative embodiment of the invention.

## 10 Detailed Description of the Invention

A circuit which addresses a number of the objects outlined above is presented as a block diagram in **Figure 2**. This figure presents a modulator topology **50** in which an input signal  $x(t)$  is up-converted to an output signal  $y(t)$ , either by mixing it with two mixing signals  $\phi_1$  and  $\phi_2$  ("pseudo-direct conversion" mode), or by mixing it with only one mixing signal  $\phi_2$  ("direct-conversion" mode).

15 Direct-conversion transceivers perform up and down conversion in a single step, using one mixer and one local oscillator. In the case of up-conversion of a signal from baseband to a carrier frequency, this requires a local oscillator signal  $\phi_2$  with a frequency equal to that of the desired carrier frequency.

20 As will be described, these two mixing signals  $\phi_1$  and  $\phi_2$ , use for pseudo-direct conversion are very different from mixing signals used in normal two-step conversion topologies (such as indirect conversion or superheterodyne topologies). The main difference is that two pseudo-direct conversion mixing signals are used to emulate a single direct-conversion mixing signal, without the usual short comings of direct-conversion.

25 Direct modulation has the advantages of simplified frequency planning, low cost implementation, and compatibility with multiple modulation formats. However, it suffers from limited power and gain control (while maintaining satisfactory performance) in a single, integrated circuit.

30 The proposed transmitter exploits the advantages of both direct modulation and pseudo-direct modulation. At high output/high gain control settings, the transmitter is configured as a direct modulator. At low output/low gain control settings, the transmitter is configured as a pseudo-direct modulator. The net result is an integrated, configurable, multi-mode transmitter. Virtues of the novel  
35 transmitter are simplified frequency planning, low cost of implementation,

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compatibility with multiple modulation formats, and wide output power/gain control range.

As noted, an exemplary topology of the invention is presented in the block diagram of **Figure 2**. In the interest of simplicity, this circuit is shown without differential signalling or in-phase and quadrature signal components, though it could easily be adapted for such use.

In this topology, the incoming signal  $x(t)$ , is fed to a switch **52** which is controlled by controller **54**. The controller **54**, is used to select the transmitter mode of operation between direct modulation and pseudo-direct modulation. For operation as a direct modulator, switch **52** connects the incoming signal  $x(t)$  to the input of mixer **56**. For pseudo-direct modulation, switch **52** connects the incoming signal  $x(t)$  to the input of mixer **58**.

The controller **54** also controls the operation of the two modulation signal generators  $\phi 1$  **60** and  $\phi 2$  **62**.

In a typical application, the controller **54** sets the operating mode to direct modulation at higher output power/gain control settings and sets the operating mode to pseudo-direct modulation at lower output power/gain control settings. In direct modulation mode, only the  $\phi 2$  signal generator **62** is used, while in pseudo-direct modulation mode, both the  $\phi 1$  and  $\phi 2$  generators **60**, **62** are required.

The mode of controller **54** is controlled by an input signal labelled "TXMODE". The TXMODE signal could be generated in a number of ways, but typically will be generated by a digital signal processor (DSP) or an ASIC (application specific integrated circuit).

In direct modulation mode, controller **54** will set the frequency of mixing signal  $\phi 2$ , generated by the  $\phi 2$  signal generator **62** to be at the desired carrier frequency.

In pseudo-direct modulation mode, controller **54** will coordinate the  $\phi 1$  and  $\phi 2$  mixing signal generators **60**, **62** to generate a pair of "virtual local oscillator" (VLO) signals  $\phi 1$  and  $\phi 2$ . These mixing signals  $\phi 1$  and  $\phi 2$  are generally referred to herein as VLO signals because they emulate a local oscillator signal; the product  $\phi 1 * \phi 2$  has significant power at the frequency of a local oscillator signal being emulated. However, neither  $\phi 1$  nor  $\phi 2$  have significant power at the frequency of the input signal  $x(t)$ , the LO signal being emulated, or the output signal  $\phi 1 \phi 2 x(t)$ . Mixing signals with such characteristics greatly resolve the problem of self-mixing

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because the VLO signals simply do not have significant power at frequencies that will interfere with the output signal.

These VLO signals are described in greater detail hereinafter, but an exemplary pair of  $\phi_1$  and  $\phi_2$  mixing signals is presented in **Figure 3**, plotted in amplitude versus time. As shown in **Figure 3**, one of these mixing signals may be a "multi-tonal" signal (multi-tonal, or non-mono-tonal, refers to a signal having more than one fundamental frequency tone. Mono-tonal signals have one fundamental frequency tone and may have other tones that are harmonically related to the fundamental tone), while the other mixing signal may be a mono-tonal signal. Both signals may also be multi-tonal.

The oscillator signal  $f_1$  used to generate  $\phi_1$  in **Figure 3**, is operating at a frequency that is four times that of  $\phi_2$ . Thus,  $\phi_1$  can be generated from the simple logical operation of  $\phi_2 \text{ XOR } f_1$ . As well, the product of these two mixing signals,  $\phi_1 * \phi_2$ , is clearly equal to the desired LO signal. Thus, the output of the pseudo-direct conversion topology  $y(t) = \phi_1 \phi_2 x(t)$  will be equal to the output of a hypothetical LO  $* x(t)$  down conversion.

However, it is important to note that at no point in the operation of the circuit is an actual " $\phi_1 * \phi_2$ " signal ever generated and if it is, only an insignificant amount is generated. The mixers **56**, **58** receive separate  $\phi_1$  and  $\phi_2$  signals, and mix them with the input signal  $x(t)$  using different physical components. Hence, there is no LO signal which may leak into the circuit.

Looking at one cycle of these mixing signals from **Figure 3** the generation of the  $\phi_1 * \phi_2$  signal is clear:

$\phi_2$	$f_1$	$\phi_1 = \phi_2 \text{ XOR } f_1$	$\phi_1 * \phi_2$
LO	LO	LO	LO
LO	HI	HI	HI
LO	LO	LO	LO
LO	HI	HI	HI
HI	LO	HI	LO
HI	HI	LO	HI
HI	LO	HI	LO
HI	HI	LO	HI



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Clearly, the two mixing signals  $\phi 1$  and  $\phi 2$  in **Figure 3** satisfy the criteria for effective VLO signals.

The only problem with this embodiment is that  $f_1$  does have power at the frequency of the LO signal being emulated, thus care must be taken to isolate it and to minimize any self-mixing that it might cause. This could be done using standard analogue design and layout techniques, as known in the art. These techniques could include, for example:

1. placing the oscillator on-chip. If the oscillator was off-chip, integrated circuit pins and tracks of the printed circuit board might serve as antennas which radiate the oscillator signal; or
2. using an oscillator which operates at a higher frequency than  $f_1$ , and down converting it using a divider. In the embodiments described hereinafter, a regenerative divider is used, which is particularly effective.

VLO mixing signals and methods of generating them, are discussed in greater detail hereinafter, and in many of the Applicant's co-pending patent applications.

Note that particular design parameters for the two mixers **56** and **58** would be clear to one skilled in the art, having the typical properties of an associated noise figure, linearity response, and conversion gain. The selection and design of these mixers would follow the standards known in the art. The design of other components would also be clear to one skilled in the art from the teachings herein.

Though **Figure 2** implies that various elements are implemented in analogue form, they can also be implemented in digital form. The mixing signals are typically presented herein in terms of binary 1s and 0s, however, bipolar waveforms,  $\pm 1$ , may also be used. Bipolar waveforms are typically used in spread spectrum applications because they use commutating mixers which periodically invert their inputs in step with a local control signal (this inverting process is distinct from mixing a signal with a local oscillator directly).

The topology of the invention allows an input signal  $x(t)$  to be down-converted effectively, using a completely integratable circuit. It is also particularly convenient when applied to the development of multi-standard/multi-frequency devices because no filters are required, and because the mixing signals can be generated and varied so easily. This advantage will become clearer from the description which follows.

Other advantages of the invention will also become clear from the other embodiments of the invention described hereinafter.

A number of other embodiments of the invention will now be described.

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### Description of Preferred Embodiments of the Invention

The preferred embodiment of the invention is presented as a block diagram in **Figure 4**. This topology is much the same as that of **Figure 2**, the primary differences being that the topology of **Figure 4** handles in-phase (I) and quadrature (Q) signal components, and all of the signals are handled in differential mode. The topology of **Figure 4** also includes a number of variable-gain amplifiers, which provide greater flexibility and improved performance, particularly in multi-standard/multi-frequency applications.

Differential signals are signals having positive and negative potentials with respect to ground, rather than a signal with only a single potential with respect to ground. The use of a differential architecture results in a stronger output signal that is more immune to common mode noise than the architecture presented in **Figures 2 and 3**. If, for example, environmental noise imposes a noise signal onto the input  $x(t)$  of **Figure 2**, then this noise signal will propagate through the circuit. However, if this environment noise is imposed equally on the IP and IN signal inputs of the differential circuit, then the net effect will be zero. Differential amplifiers, mixers and switches are well known in the art.

The topology 80 of **Figure 4** is also designed to handle in-phase (I) and quadrature (Q) signal components. In many modulation schemes, it is necessary to modulate or demodulate both in-phase (I) and quadrature (Q) components of the input signal; simply put, these are signal components which are 90 degrees out of phase from one another.

With separate I and Q signal components, separate I and Q mixing signals must be generated. In the case of the pseudo-direct conversion, four mixing signals would have to be generated:  $\phi 1I$  which is 90 degrees out of phase with  $\phi 1Q$ ; and  $\phi 2I$  which is 90 degrees out of phase with  $\phi 2Q$ . The pairing of signals  $\phi 1I$  and  $\phi 2I$  must meet the functional selection criteria for VLO mixing signals listed above, as must the signal pairing of  $\phi 1Q$  and  $\phi 2Q$ .

Design of components to generate such  $\phi 1I$ ,  $\phi 1Q$ ,  $\phi 2I$  and  $\phi 2Q$  signals would be clear to one skilled in the art from the description herein. As well, additional details on the generation of such signals are available in the co-pending patent applications filed under PCT International Application Serial Nos. PCT/CA00/00994, PCT/CA00/00995 and PCT/CA00/00996.

Returning to the block diagram of **Figure 4**, differential signalling is used throughout, generally represented by P and N labels. The in-phase and quadrature

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components of the input signal are identified as I and Q respectively, and are handled and modulated in two separate signal channels and then merged into a combined signal after modulation has been completed.

5 The differential amplifiers **A1** and **A2** of **Figure 4** buffer and amplify the incoming pairs of baseband signals, **IP**, **IN** and **QP**, **QN**. **IP** is the positive, in-phase component of the incoming signal and **IN** is the negative, in-phase component of the incoming signal. Similarly, **QP** is the positive, quadrature-phase component of the incoming signal and **QN** is the negative, quadrature-phase component of the incoming signal. Note that these two amplifiers **A1** and **A2** are used in both direct  
10 modulation and pseudo-direct modulation modes of operation.

The two pairs of signals now pass to differential switches **SW1** and **SW2**. Switches **SW1** and **SW2** are controlled via circuit block **C1**, and are used to select the transmitter mode of operation between direct modulation and pseudo-direct modulation. For operation as a direct modulator, switches **SW1** and **SW2** connect  
15 the outputs of amplifiers **A1** and **A2**, to the inputs of mixers **M3** and **M4** respectively. For pseudo-direct modulation, switches **SW1** and **SW2** connect the outputs of amplifiers **A1** and **A2**, to the inputs of mixers **M1** and **M2** respectively.

Circuit block **C1** selects the transmitter mode of operation between direct modulation and pseudo-direct modulation via control of switches **SW1** and **SW2**, and  
20 the modulation signal generators **82** and **84** generators in circuit block **L1**. In a typical application, the circuit block **C1** sets the operating mode to direct modulation at higher output power/gain control settings and sets the operating mode to pseudo-direct modulation at lower output power/gain control settings.

In direct conversion mode, only signal generator **84** of circuit block **L1** is  
25 used, while in pseudo-direct conversion mode, both the **82** and **84** generators are required. As noted above, in direct conversion mode signal generator **84** will generate a pair of I and Q signal components for a single  $\phi_2$  modulating signal (at the carrier frequency). In pseudo-direct conversion mode, two mixing signals would have to be generated by signal generator **82**,  $\phi_{1I}$  and  $\phi_{1Q}$ ; and two mixing signals  
30 would have to be generated by signal generator **84**,  $\phi_{2I}$  and  $\phi_{2Q}$ .

The incoming differential local oscillator signals **LOP** and **LON** are used by the circuit block **L1** to generate the mixing signals. These local oscillator signals are preferably at a frequency which is a multiple or fraction of the actual mixing signals being used. This is desirable to minimize LO leakage into the signal path, which can

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interfere with useful data. In the circuit of **Figure 4**, signals **LO<sub>P</sub>** and **LO<sub>N</sub>** are at twice the frequency of the actual LO being used internally, and these signals are divided by 2, using circuit block **/2**.

5 In this embodiment of the invention, the mode of circuit block **C1** is controlled by an input signal labelled "TXMODE". The TXMODE signal could be generated in a number of ways, but typically will be generated by a digital signal processor (DSP) or an ASIC (application specific integrated circuit).

10 Differential mixers **M1** and **M2** are used in the pseudo-direct modulation mode of operation. They simply mix the baseband input signals using the differential  $\phi 1$  signals described herein above. The output of mixers **M1** and **M2** are therefore pseudo-IF signals.

15 Differential amplifiers **A3** and **A4** are then used in the pseudo-direct modulation mode of operation, to vary the signal gain and power of the pseudo-IF signals. The degree of amplification is controlled via the external control signal **GC1** to optimise the operation of the circuit in pseudo-direct modulation mode.

20 Differential mixers **M3** and **M4** are used in both direct modulation and pseudo-direct modulation modes of operation, mixing the signals that they receive, to the final RF frequency. If the circuit is in the direct modulation mode, then the circuit block **C1** will cause the mixing signals  $\phi 2I$  and  $\phi 2Q$  to simply be oscillator signals at the desired carrier frequency. If the circuit is in pseudo-direct modulation mode, then the circuit block **C1** will control the signal generators **82** and **84** to generate complementary pairs of VLO mixing signals  $\phi 1I$  and  $\phi 1Q$ , and  $\phi 2I$  and  $\phi 2Q$ .

25 Because the components of **Figure 4** are all differential, these mixing signals must also be differential. A method of generating an exemplary pair of differential mixing signals  $\phi 1P/\phi 1N$  and  $\phi 2P/\phi 2N$  is shown in **Figure 5**. The signals in **Figure 5** are the same as those of **Figure 3**, except that complementary P and N components are required. That is, a differential oscillator signal  $f1P/f1N$  runs at four times the frequency of the differential mixing signal  $\phi 2P/\phi 2N$ . This signal  $f1P/f1N$  can  
30 generate the differential mixing signal  $\phi 1P/\phi 1N$ , simply using the logical operation of  $\phi 2 \text{ XOR } f1$ . The products of the mixing signals,  $\phi 1P * \phi 2P$  and  $\phi 1N * \phi 2N$ , are clearly equal to the LO signal being emulated. The generation of I and Q mixing signals follows in the same way.

Regardless of the operating mode, the in-phase and quadrature signal paths

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are then merged using the differential summer  $\Sigma$ . The differential, variable gain amplifier **A5** is then used to vary the signal gain and power at RF via the external control signal **GC2**. Finally, differential amplifier **A6** is used to buffer and amplify the resultant modulated RF signal.

5        Note, of course, that the summer  $\Sigma$ , variable gain amplifier **A5** and amplifier **A6** are used in both the direct modulation and pseudo-direct modulation modes of operation.

### Alternative Embodiment of the Invention

10        An alternative embodiment of the invention is presented in the block diagram of **Figure 6**.

      This circuit is almost the same as that of **Figure 4**, all of the amplifiers, mixers and summers operating in the same way. As well, this circuit also operates in two modes: direction conversion and pseudo-direct conversion. The most obvious  
15        difference between the two circuits is that switches **SW1** and **SW2** have been removed, and replaced with two switches **SW3** and **SW4**, which were placed between differential amplifiers **A3**, **A4** and differential mixers **M3**, **M4**. These two switches **SW3**, **SW4** are used to place the new differential filters **F1**, **F2** in or out of the circuit. As will be explained, the new low pass filters **F1**, **F2** are used while the  
20        circuit is in direction conversion mode.

      Though it is not apparent from the block diagrams, the operation of circuit block **C2**, circuit block **L2**, and differential modulation signal generators **92** and **94**, are also quite different from the operation of the corresponding components in **Figure 4**.

25        As noted above, the circuit of **Figure 6** operates in one of two modes, as controlled by the "TX MODE" input to circuit block **C2**. When the circuit is in direct conversion mode:

1.        circuit block **C2** directs the modulation signal generator **92** to generate constant value signal (i.e. a DC signal). The output of differential mixers **M1**,  
30        **M2** is the product of its input signals so (input x constant) will result in an output at the same frequency as the input, making the two differential mixers **M1**, **M2** act simply as linear gain elements with no frequency translation;
2.        circuit block **C2** toggles the two switches **SW3**, **SW4** to place the low pass filters **F1**, **F2** into the circuit. This is done (when required) to improve noise

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and spurious performance in direct modulation mode. Of course, the filters may not be necessary in all cases, and other manners of filters may also be substituted, depending on system requirements; and

3. circuit block **C2** directs the modulation signal generator **94** to generate normal direct-conversion mixing signals, which are fed to differential mixers **M3**, **M4**.

In pseudo-direct conversion mode:

1. circuit block **C2** directs the modulation signal generators **92**, **94** to generate VLO mixing signals as described above; and
2. circuit block **C2** toggles the two switches **SW3**, **SW4** to place the low pass filters **F1**, **F2** out the circuit.

Apart from these changes, this circuit uses basically the same components as that of **Figure 4**, and operates in much the same manner.

## 15 **Virtual Local Oscillator Signals**

An exemplary set of VLO signals were described hereinabove. The purpose of this section is to present VLO signals in a more general way, as any number of VLO signals could be generated with which the invention could be implemented.

Aperiodic or time-varying mixing signals offer advantages over previously used mono-tonal oscillator signals. A given pair of these virtual local oscillator (VLO) signals  $\phi_1$  and  $\phi_2$  have the properties that:

1. their product emulates a local oscillator (LO) signal that has significant power at the frequency necessary to translate the input signal  $x(t)$  to the desired output frequency. For example, to translate the input signal  $x(t)$  to baseband in a receiver,  $\phi_1(t) * \phi_2(t)$  must have a frequency component at the carrier frequency of  $x(t)$ ; and
2. one of either  $\phi_1$  and  $\phi_2$ , has minimal power around the frequency of the mixer pair output  $\phi_1(t) * \phi_2(t) * x(t)$ , while the other has minimal power around the centre frequency,  $f_{RF}$ , of the input signal  $x(t)$ . "Minimal power" means that the power should be low enough that it does not seriously degrade the performance of the RF chain in the context of the particular application.

For example, if the mixer pair is demodulating the input signal  $x(t)$  to baseband in a receiver, it is preferable that one of either  $\phi_1$  and  $\phi_2$  has minimal power around DC.

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As a result, the desired demodulation is affected, but there is little or no LO signal to leak into the signal path and appear at the output.

As noted above, mixing two signals together generates an output with:

- (a) a signal equal in frequency to the sum of the frequencies of the input signals;
- 5 (b) a signal equal in frequency to the difference between the frequencies of the input signals; and
- (c) the original input frequencies.

Thus, direct conversion receivers known in the art must mix the input signal  $x(t)$  with a LO signal at the carrier frequency of the input signal  $x(t)$ . If the LO signal of a  
10 direct conversion receiver leaks into the signal path, it will also be demodulated to baseband along with the input signal  $x(t)$ , causing interference. The invention does not use an LO signal, so leakage does not generate a signal at the baseband output  $\phi_1(t) * \phi_2(t) * x(t)$ .

Any signal component at the frequency of the input signal  $x(t)$  or the output  
15 signal  $\phi_1(t) * \phi_2(t) * x(t)$ , in either of the mixing signals  $\phi_1$  and  $\phi_2$ , is suppressed or eliminated by the other mixing signal. For example, if the mixing signal  $\phi_2$  has some amount of power within the bandwidth of the up-converted RF (output) signal, and it leaks into the signal path, then it will be suppressed by the  $\phi_1$  mixing signal which has minimal power within the bandwidth of the up-converted RF (output) signal. This  
20 complementary mixing suppresses interference from the mixing signals  $\phi_1$  and  $\phi_2$ .

As noted above, current receiver and transmitter technologies have several problems. Direct-conversion transceivers, for example, suffer from LO leakage and 1/f noise problems which limit their capabilities, while heterodyne transceivers require image-rejection techniques which are difficult to implement on-chip with high  
25 levels of performance.

The problems of image-rejection, LO leakage and 1/f noise in highly integrated transceivers can be overcome by using the complementary VLO signals. These signals are complementary in that one of the  $\phi_1$  and  $\phi_2$  signals has minimal power around the frequency of the output signal  $y(t)$  (which is around DC if  
30 conversion is to baseband), and the other has minimal power around the centre frequency,  $f_{RF}$ , of the input signal  $x(t)$ .

These signals  $\phi_1$  and  $\phi_2$  can, in general, be:

- 1. random or pseudo-random, periodic functions of time;
- 2. analogue or digital waveforms;
- 35 3. constructed using conventional or non-conventional bipolar waves;

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4. averaging to zero;
5. amplitude modulated; and
6. generated in a number of manners including:
  - a. being stored in memory and clocked out;
  - 5 b. being generated using digital blocks;
  - c. being generated using noise shaping elements (e.g. delta-sigma elements); or
  - d. being constructed using PN sequences with additional bits inserted so they comply to the above conditions.

10 It would be clear to one skilled in the art that virtual LO signals may be generated which provide the benefits of the invention to greater or lesser degrees. While it is possible in certain circumstances to have almost no LO leakage, it may be acceptable in other circumstances to incorporate virtual LO signals which still allow a degree of LO leakage.

15 Virtual local oscillator signals may also be generated in different forms, such as using three or more complementary signals rather than the two mixing signals shown above. These and other variations are described in the following co-pending patent applications:

- 20 1. PCT International Application Serial No. PCT/CA00/00995 Filed September 1, 2000, titled: "Improved Method And Apparatus For Up-Conversion Of Radio Frequency (RF) Signals";
2. PCT International Application Serial No. PCT/CA00/00994 Filed September 1, 2000, titled: "Improved Method And Apparatus For Down-Conversion Of Radio Frequency (RF) Signals"; and
- 25 3. PCT International Application Serial No. PCT/CA00/00996 Filed September 1, 2000, titled: "Improved Method And Apparatus For Up-And-Down-Conversion Of Radio Frequency (RF) Signals".

#### **Advantages of the Invention**

30 The invention provides many advantages over other up-convertors known in the art. To begin with, it offers:

1. minimal imaging problems;
2. minimal leakage of a local oscillator (LO) signal into the RF output band;
3. removes the necessity of having a second LO as required by super-heterodyne circuits, and various (often external) filters; and
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4. has a higher level of integration as the components it does require are easily placed on an integrated circuit. For example, no large capacitors or sophisticated filters are required.

A high level of integration results in decreased IC (integrated circuit) pin counts, decreased signal power loss, decreased IC power requirements, improved SNR (signal to noise ratio), improved NF (noise factor), and decreased manufacturing costs and complexity.

The design of the invention also makes the production of inexpensive, configurable, multi-standard/multi-frequency communications transmitters and receivers a reality. As noted in the Background herein above, multiple transmitters had to be designed to support multiple modes (standards). This resulted in high cost and large physical size. In contrast, the invention provides a topology that is extremely flexible and configurable. The oscillator signals can easily be changed electronically, as can the degree of gain from the variable-gain amplifiers **A3**, **A4** and **A5**.

The benefits of the invention are most apparent when it is implemented within a single-chip design, eliminating the extra cost of interconnecting semiconductor integrated circuit devices, reducing the physical space they require and reducing the overall power consumption. Increasing levels of integration have been the driving impetus towards lower cost, higher volume, higher reliability and lower power consumer electronics since the inception of the integrated circuit. This invention will enable communications devices to follow the same integration route that other consumer electronic products have benefited from.

## 25 Other Options and Alternatives

A number of variations can be made to the topology of the invention including the following:

1. the invention can be implement using bipolar technology, CMOS technology, BiCMOS technology, or another semiconductor technology including, but not limited to Silicon/Germanium (SiGe), Germanium (Ge), Gallium Arsenide (GaAs), and Silicon on Sapphire (SOS);
2. mixing signals can be generated in many ways, for example, using a voltage controlled oscillator (VCO). Having a VCO at the frequency of the incoming signal can allow self-mixing to occur because the tracks of the printed circuit board (PCB) and pins of integrated circuits act as antennas for the LO signal

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to radiate. Using a VCO at a different frequency than the incoming signal  $x(t)$ , and placing a frequency divider or multiplier on chip, minimizes the possibility of self-mixing;

3. control circuit **C1**, and control signals **GC1** and **GC2** may be merged into a single circuit that controls output power/gain and mode of operation;
4. the invention may be applied to various communication protocols and formats including: amplitude modulation (AM), frequency modulation (FM), frequency shift keying (FSK), phase shift keying (PSK), cellular telephone systems including analogue and digital systems such as code division multiple access (CDMA), time division multiple access (TDMA) and frequency division multiple access (FDMA); and
5. the mixers used in the topology of the invention could either be passive or active. Active mixers are distinct from passive mixers in a number of ways:
  - a. they provide conversion gain. Thus, an active mixer can replace the combination of a low noise amplifier and a passive mixer;
  - b. active mixers provide better isolation between the input and output ports because of the impedance of the active components; and
  - c. active mixers allow a lower powered mixing signal to be used.

## 20 Conclusions

It will be apparent to those skilled in the art that the invention can be extended to cope with more than two or three standards, and to allow for more biasing conditions than those in the above description.

The electrical circuits of the invention may be described by computer software code in a simulation language, or hardware development language used to fabricate integrated circuits. This computer software code may be stored in a variety of formats on various electronic memory media including computer diskettes, CD-ROM, Random Access Memory (RAM) and Read Only Memory (ROM). As well, electronic signals representing such computer software code may also be transmitted via a communication network.

Clearly, such computer software code may also be integrated with the code of other programs, implemented as a core or subroutine by external program calls, or by other techniques known in the art.

The embodiments of the invention may be implemented on various families of integrated circuit technologies using digital signal processors (DSPs),

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microcontrollers, microprocessors, field programmable gate arrays (FPGAs), or discrete components. Such implementations would be clear to one skilled in the art.

The invention may be applied to such applications as wired communication systems include computer communication systems such as local area networks (LANs), point to point signalling, and wide area networks (WANs) such as the Internet, using electrical or optical fibre cable systems. As well, wireless communication systems may include those for public broadcasting such as AM and FM radio, and UHF and VHF television; or those for private communication such as cellular telephones, personal paging devices, wireless local loops, monitoring of homes by utility companies, cordless telephones including the digital cordless European telecommunication (DECT) standard, mobile radio systems, GSM and AMPS cellular telephones, microwave backbone networks, interconnected appliances under the Bluetooth standard, and satellite communications.

While particular embodiments of the present invention have been shown and described, it is clear that changes and modifications may be made to such embodiments without departing from the true scope and spirit of the invention.